

DEALER MEMBERSHIP APPLICATION & AGREEMENT

for membership in the dealer network of the Certified Collectibles Group Companies.



Thank you for your interest in becoming an Authorized Dealer with the Certified Collectibles Group, LLC ("CCG"), a global leader in expert, impartial and tech-enabled services that add value and liquidity to collectibles. Authorized Dealers receive the most exclusive benefits available from CCG, including grading discounts, full access to an array of digital tools designed to support you and your customers, a listing in the Dealer Locator and much more.

CCG Authorized Dealers pay just \$199 per year to access these and other benefits. Authorized Dealer membership renews automatically each year unless it is cancelled. Membership fees are due upon notification that your application has been approved. If your application is not approved, you do not have to pay any fees.

The CCG Companies include the following:

- Provenance NGC, LLC d / b / a Numismatic Guaranty Company ("NGC")
- Numismatic Conservation Services, LLC ("NCS")
- Paper Money Guaranty, LLC ("PMG")
- Certified Guaranty Company, LLC ("CGC")
- Authenticated Stamp Guaranty, LLC ("ASG")

Membership terms with each of the above companies will be governed by the Dealer Member Terms & Conditions.

The CCG Companies do not necessarily provide services at every CCG location. For more information on the availability of CCG services, visit collectiblesgroup.com

To apply for CCG Authorized Dealer membership, please completely read and follow these steps:

1. Fill out all pages of the Application Form & Agreement.
2. Sign to indicate your agreement with and acceptance of the applicable Terms and Conditions.
3. Forward an electronic copy (e.g. scan) of the signed and completed Dealer Application by email to dealerapp@collectiblesgroup.com or by fax to 941-360-2553.

After receipt and review of your application, you will be informed by email whether your application has been accepted. If your application is accepted, you will subsequently receive additional information and documentation. You will also be required to pay your membership fee to complete the activation of your CCG Authorized Dealer membership.

Application Form *Please type or print legibly.*

Company name: _____ Contact person: _____

Street address: _____

City: _____ State: _____ Postal Code / ZIP: _____

Country: _____ Phone: _____

Email: _____ Fax: _____

Website: _____

Federal Tax ID (Required) _____ and Business Reg. No. (Required) _____

Preferred Language: _____

☐ If you're interested in multiple CCG Companies and wish to provide different addresses and / or contacts for each, please use the back of this page to provide additional information. Please be specific as to which address / contact should be used for each CCG Company.

I am currently a Collector Member: ☐ Yes ☐ No CS# _____

Are you currently or have you ever been a CCG Authorized Dealer? ☐ Yes ☐ No

Have you applied for membership before? ☐ Yes ☐ No

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Application Form (continued)

I am a member of the following collectibles organizations:

Please indicate date joined and any official capacities you have held.

I am an authorized dealer for the following grading services:

My business is:

☐ A sole proprietorship

☐ A partnership consisting of myself and

☐ A corporation of which I am one of the major stockholders. The others are:

☐ A limited liability company, of which I am a member. The others are:

Date established: _____

The current net worth of my collectibles business is:

☐ Under \$50,000 USD

☐ \$250,000–\$499,999 USD

☐ \$50,000–\$249,999 USD

☐ \$500,000+ USD

The majority of my customers are:

☐ Other dealers

☐ Collectors

☐ Both:

Specify percentages

What period of collectibles do you sell?

☐ Modern

☐ Vintage

☐ Both:

Specify percentages

My primary area of focus within our collectible category is:

(ex: vintage gold coins, modern comics, modern Chinese notes)

My primary sales channel is:

☐ Brick & mortar storefront

☐ My website

☐ Online retailers (e.g., eBay)

☐ Mail order

☐ Auctions

☐ Collector Shows

☐ Other:

Collector shows that I regularly attend include:

I use the following to promote my products:

☐ Direct mail

☐ Email marketing

☐ Word-of-mouth

☐ Social media *(specify platform — Facebook, Pinterest, etc.)*

☐ Print advertising *(specify publications)*

☐ Other

Will you be accepting public submissions to CCG Companies?

☐ Yes

☐ No

How did you learn about CCG Companies?

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Application Form (continued)

Is your company in compliance with local, state and federal laws and regulations? ☐ Yes ☐ No

Has your company, ownership or principals ever been the subject of bankruptcy, insolvency or receivership proceedings (including entering into a CVA, IVA or Administration Order)?

☐ Yes ☐ No *(If "Yes," please explain each instance. Use an additional piece of paper if necessary.)*

Are there any unresolved complaints filed against your business, including litigation, arbitration, Better Business Bureau, or other similar tribunal, or relevant regulatory / governmental authority?

☐ Yes ☐ No *(If "Yes," please explain. Use an additional piece of paper if necessary.)*

To the best of your knowledge, have you or any of the principals, shareholders, directors, officers, members, managers, or employees in your organization or business ever been convicted of or charged with a felony and / or violation of a federal or state law, statute, rule or regulation concerning fraud, deceit, or an allegation of dishonesty?

☐ Yes ☐ No *(If yes, please provide complete details, including date, location (city and state), name of court, and disposition. Use an additional piece of paper if necessary.)*

Required Documents: You must attach to your application proof of ownership for the company listed on page one. Acceptable proof includes a copy of the certificate of incorporation or the owner's tax return. This application must be signed by the owner of the company or their authorized representative.

Payment for CCG's services is due at the time of submission. If you would like to apply for credit terms, you must complete and submit the CCG Credit Application & Agreement.

This Dealer Application Form, together with the below-listed documents (which are hereby incorporated by reference), constitutes the entire agreement between Authorized Dealer and the relevant CCG Companies, and may only be amended or modified by providing fifteen (15) days' written notice to Authorized Dealer.

- Terms and Conditions of Dealer Membership: ngccoin.com/dealers/terms-and-conditions
- NGC, PMG, CGC and ASG Submission Forms
- Services Terms and Conditions: ngccoin.com/terms
- Privacy Policy: ngccoin.com/privacy

I hereby agree to the terms and conditions above and certify that the information provided on my dealer application is true, accurate and complete.

Signature _____ Print Name _____ Date: _____

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REFERENCES

PLEASE LIST FOUR (4) COLLECTIBLES-RELATED REFERENCES WITH WHOM YOU'VE HAD BUSINESS DEALINGS FOR THE PAST YEAR OR MORE. THE SAME REFERENCES MAY APPLY FOR ALL CCG COMPANIES.

**THIS SECTION MUST BE COMPLETELY FILLED OUT.
IF HAND WRITING, PLEASE PRINT LEGIBLY.**

For CCG Companies use only:

Name: _____

Company: _____

Title: _____ Phone: _____

City: _____ State: _____

POSTAL CODE / ZIP: _____ Country: _____

Email address: _____

Name: _____

Company: _____

Title: _____ Phone: _____

City: _____ State: _____

POSTAL CODE / ZIP: _____ Country: _____

Email address: _____

Name: _____

Company: _____

Title: _____ Phone: _____

City: _____ State: _____

POSTAL CODE / ZIP: _____ Country: _____

Email address: _____

Name: _____

Company: _____

Title: _____ Phone: _____

City: _____ State: _____

POSTAL CODE / ZIP: _____ Country: _____

Email address: _____

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Credit Card Approval and Release

The CCG Companies require a valid credit card from Authorized Dealer members. **This information must be provided even if you will use a different form of payment for services fees.** Credit card information will be stored with encryption by the CCG Companies.

In order to activate your Authorized Dealer Account, you must complete this form, sign and date it, and return it to:

- email to dealerapp@collectiblesgroup.com or by fax to 941-360-2553.

Authorized Dealer authorizes the CCG Companies to charge the below-listed credit card for all charges incurred for services requested and rendered, regardless of the final result of any grading and authentication opinion provided by the CCG Companies.

☐ Visa ☐ MasterCard ☐ AmEx Credit Card # _____ Exp _____

Billing Address

Name: _____ Street Address: _____

City: _____ State: _____ ZIP: _____

Country: _____ Phone: _____

Signature X _____ Print Name _____ Date _____

SHIPPING INFORMATION FORM

7.2023

This form is used to update your account contact, shipping and insurance information with the CCG Companies.

Please return this completed and signed form to: CCG, Attention: Customer Service, P.O. Box 4711, Sarasota, FL 34230,
Fax: (+1) 941-360-2553, Email: service@collectiblesgroup.com



If we do not receive this form by the time your collectibles are ready to ship, we will automatically send them back to you, at your expense, via FedEx with insurance coverage of up to \$100,000 per package for US shipments and up to \$50,000 per package for international shipments.

1 CONTACT INFORMATION

Company / Member Name	CCG Account Number		
Address	Apartment, Suite, Floor		
City	State / Province	Postal Code	
Country	Contact Person		
Phone	Fax	Email	

2 SHIPPING INFORMATION

☐ Same as above information

Company Name / Member Name			
Address	Apartment, Suite, Floor		
City	State / Province	Postal Code	
Country	Contact Person		
Phone	Fax	Email	

3 SHIPPING AND INSURANCE INFORMATION

☐ Return ship via CCG's standard method -OR- ☐ Return ship via **Customer's** preferred method and account.

IMPORTANT: ONLY FILL OUT THE SECTION BELOW IF YOU WISH TO USE YOUR **OWN** FedEx or UPS account for return shipping. You must provide your account number and indicate the private insurance amounts that you carry for any such shipments. We will use reasonable efforts to ship your items to you such that shipments are within the limits of your insurance coverage. If you do not indicate your insurance limits below, or if you indicate \$0, we will make shipments as you have instructed, but you understand that these shipments will not be insured and you assume all risk of loss or damage during return shipment. CCG does not procure insurance for shipments made on a customer's own account.

If you do not provide your own account number, we will automatically ship your collectibles back to you, at your expense, via FedEx with insurance coverage procured by CCG of up to \$100,000 per package for US shipments and up to \$50,000 per package for international shipments.

SHIPPING SERVICES

Please select your service:

- ☐ **FedEx** ☐ **UPS**
(Choose one.)
☐ Always
-OR-
☐ Only when requested

INSURED VALUE LIMITS

This information is required for expedited delivery services. Please complete this section in full.

Your FedEx OR UPS account # (Required):

Your **Per Box** Insurance Limit (Required):

Your **Per Day** Insurance Limit (Required):

Ship on Friday (Required): ☐ Yes ☐ No

Specify delivery:

☐ Next day a.m. ☐ Next day p.m. ☐ 2-day ☐ Saturday delivery ☐ Ground ☐ International

Please update shipping information for: ☐ NGC and NCS ☐ PMG ☐ CGC

If you do not select a company, your shipping information will be updated for all CCG Companies. If you wish to provide multiple shipping addresses and / or contacts, please fill out a separate form for each CCG Company.

4 SPECIAL INSTRUCTIONS OPTIONAL

DISCLAIMER: Changes to customer address or shipping information may take up to ten (10) business days to take effect and cannot be guaranteed for submissions already in process. CCG will send you a confirmation email once the changes have been made.

I understand and agree that these instructions will be used to update my account contact, shipping and insurance information with the CCG companies I have selected. I understand that the CCG Companies do not provide or procure insurance for any shipments made using my own shipping account with FedEx or UPS. I have read and understand the terms above and represent that all information that I have provided is accurate.

Authorized Signature _____ Print Name _____ Date _____

WRITTEN AUTHORIZATION IS REQUIRED FOR ANY FURTHER CHANGES. PLEASE NOTIFY US OF CHANGES IMMEDIATELY BY EMAIL: SERVICE@COLLECTIBLESGROUP.COM OR FAX: (+1) 941-360-2553.

CCG EMAIL NOTIFICATIONS

7.2023

NGC, NCS, PMG and CGC can send different reports to the people that you designate. In order to activate your Authorized Dealer Account, you must complete this form, date and sign it, and return it by email to dealerapp@collectiblesgroup.com or by fax to 941-360-2553.



Please update this information for: ☐ NGC and NCS ☐ PMG ☐ CGC

If you do not select a company, your authorized contact information will be updated for all. If you wish to provide different recipients for multiple CCG Companies, please fill out a separate form for each CCG Company.

ACCOUNT INFORMATION

Company Name	Account Number	
Contact Person (Required)		
Address	Apartment, Suite, Floor	
City	State / Province	ZIP / Postal Code
Country	Email (Required)	
Phone	Fax	

SUBMISSION ACKNOWLEDGMENT (Daily report of submissions received)

☐ I decline to receive automated Submission Acknowledgments for this account via Email

Recipient Name	Email
Recipient Name	Email
Recipient Name	Email

SHIPMENT SUMMARY (Daily report of submissions returned)

☐ I decline to receive automated Shipment Summaries for this account via Email

Recipient Name	Email
Recipient Name	Email
Recipient Name	Email

GRADING REPORT (Grading results for submissions)

☐ I decline to receive automated Grading Reports for this account via Email

Recipient Name	Email
Recipient Name	Email
Recipient Name	Email

ACCOUNTING REPORT (Billing Statement, Invoices, Prescreen Submission Detail)

☐ I decline to receive automated Accounting Reports for this account via Email

Recipient Name	Email
Recipient Name	Email
Recipient Name	Email

I authorize the above individual(s) to receive the Customer Authorization reports as requested.

Authorized Signature _____ Print Name _____ Date _____

A signature is required to make changes to Customer Authorization information with any CCG Companies.

SUPPORT THE NATIONAL COIN & BULLION ASSOCIATION (NCBA)

1.2023

Important Note for NGC, NCS and / or PMG Authorized Dealer Applicants in the United States:

The National Coin & Bullion Association (NCBA) is a United States 501(c)(6) tax-exempt trade organization dedicated to the coins, currency and bullion communities. NCBA serves as the industry's watchdog to maintain a favorable legislative and regulatory climate in the US federal government and individual US state governments for coin dealers, collectors and investors. Among its efforts, NCBA lobbies against taxation schemes that are harmful to dealers, collectors and investors of coins, paper money and bullion.



We strongly encourage CCG Authorized Dealers active in the coin, paper money and bullion markets to support NCBA. To make it easier for you to support NCBA, we have established a program whereby a \$0.25 surcharge is applied to the grading fee for each coin submitted to NGC or note submitted to PMG, and then remitted to NCBA. Your support is a vital source of funding for this very worthy effort.

Please return this completed and signed form to: CCG, Attention: Customer Service, P.O. Box 4711, Sarasota, FL 34230, Fax: 941-360-2553 or Email: service@collectiblesgroup.com

1 CONTACT INFORMATION

Company / Member Name	CCG Account Number		
Address	Apartment, Suite, Floor		
City	State / Province	Postal Code	
Country	Contact Person		
Phone	Fax	Email	

2 NCBA PROGRAM SELECTION

- ☐ I wish to participate in CCG's program to support NCBA.
- ☐ I do **NOT** wish to participate in CCG's program to support NCBA.

If you do not make a selection, you will be automatically enrolled in CCG's program to support NCBA.

Authorized Signature _____ Print Name _____ Date _____

WRITTEN AUTHORIZATION IS REQUIRED FOR ANY FURTHER CHANGES. PLEASE NOTIFY US OF CHANGES IMMEDIATELY BY EMAIL: SERVICE@COLLECTIBLESGROUP.COM OR FAX: (+1) 941-360-2553.